



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of) Examiner: Not Assigned
Naoki Hanashima and Reio Mochida) Art Unit: 2874
Serial No.: 10/810,392) Confirmation No.: 8602
fled: March 26, 2004) Customer No.: 22430
or: OPTICAL WAVEGUIDE AND METHOD OF FABRICATING THE SAME))) <u>INFORMATION DISCLOSURE</u>) <u>STATEMENT</u>
Atty. Docket No.: WASH5920)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached form PTO/SB/08A and request them to be considered by the Examiner. A copy of reference number 4 is enclosed herewith. The other references cited on the attached are U.S. patent references and, therefore no copies are enclosed. Also enclosed is a copy of the European Search Report prepared on September 10, 2004, in the corresponding European application.

This information disclosure statement is being filed under 37 C.F.R. §1.97(b)(3), therefore, no fee is due with this communication.

Respectfully submitted,

Date: Nov 2, 2004

Alan W. Young

Attorney for Applicant Registration No. 37,970

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CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that these papers are being deposited with the United States Postal Service as first class mail with sufficient postage addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on November 2, 2004.

Nita J. Miller

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known			
Application Number	10/810,392		
Filing Date	March 26, 2004		
First Named Inventor	Naoki HANESHIMA		
Art Unit	2874		
Examiner Name	Not Assigned		
Attornou Docket Mumber	MACHEOOD		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 2002/039474-A1	04/04/2002	Arnd Kilian et al.	
	2	US- 2003/152353-A1	08/14/2003	Tadao Inoue et al.	
	3	^{US-} 5,904,491	05/18/1999	Jonathan Paul Stagg et al.	
		US-			
		US-	<u> </u>		

		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	-
	4	JP-10142436-A	05/29/1998	Mitsubishi Cable Ind. Ltd.		
						L
						Γ

Examiner	Date	
Signature	Considered	
O.B. Idian	0011010101	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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